



EXPAND

8 July 2024

Foreign tax status declaration – Entities

Please use this form to confirm additional identification information, Foreign Account Tax Compliance Act (FATCA) status, and Common Reporting Standard (CRS) information. An entity can be a company, trust, partnership, association, registered co-operative or a government body.

Please complete these instructions in BLACK INK using CAPITAL LETTERS and ✓ boxes where provided.

Step 1a: Entity details

Account number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Full Entity name	<input type="text"/>							
ACN or other registration number	<input type="text"/>							
Entities registered address (PO Box is not acceptable)								
Street	<input type="text"/>							
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>			
Country	<input type="text"/>							
Entities principal place of business if any or if different from above (PO Box is not acceptable)								
Street	<input type="text"/>							
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>			
Country	<input type="text"/>							

Step 1b: For a corporate trustee only

Does the Entity have a corporate Trustee?

- ☐ No, continue to Step 2.
- ☐ Yes, please specify regulator (such as ASIC, APRA)

Regulator	<input type="text"/>							
Licence number	<input type="text"/>							
Registered name of corporate trustee	<input type="text"/>							
Contact person of the corporate trustee	<input type="text"/>							
Registered address (PO Box is not acceptable)								
Street	<input type="text"/>							
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>			
Country	<input type="text"/>							

Principal place of business if any or if different from above (PO Box is not acceptable)

Street

Suburb

Country

State

Postcode

Step 2: Taxation details

Is the Entity a tax resident of Australia?

☐

Yes

☐

No

Is the Entity a tax resident of a country other than Australia?

☐

Yes

☐

No

Please complete the below tax residency information.

Country

TIN

If no TIN, please list Reason A, B or C

If there are more countries, provide details on a separate sheet and tick this box

☐

Reasons for not providing a TIN:

Reason A – The country of tax residency does not issue TINs to tax residents, **OR**

Reason B – You have not been issued with a TIN – **You must provide details for this reason below,**

Reason you have not been issued with a TIN (if applicable)

OR

Reason C – The country of tax residency does not require the TIN to be disclosed.

Tax Status

We are required to collect the tax status of the trust or company in accordance with the United States Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS). Select one of the following tax status options:

☐

Other (passive non-financial entity)

☐

Active non-financial entity

☐

Financial institution

☐

Australian public listed company (can only apply to company accounts)

☐

Majority owned subsidiary of an Australian public listed company (can only apply to company accounts)

For an Association, Trust or Company with a Tax Status of 'Financial Institution', please complete this form along with the **Additional Tax Information form**.

Step 3: Foreign Controlling Persons (Individuals)

Does the Entity have any Controlling Persons who are tax residents of countries other than Australia?

☐ Yes ☐ No

If yes, please provide details of the individuals below, and complete a separate Individual Foreign Tax Status Declaration form for each Controlling Person.

Full given name(s)	Surname	Role

Please see below for examples of roles of a controlling person:

- a Controlling Person of a legal person – control by ownership
- b Controlling Person of a legal person – control by other means
- c Controlling Person of a legal person – senior managing official
- d Controlling Person of a trust – settlor
- e Controlling Person of a trust – trustee
- f Controlling Person of a trust – protector
- g Controlling Person of a trust – beneficiary
- h Controlling Person of a trust – other
- i Controlling Person of a legal arrangement (non-trust) – settlor-equivalent
- j Controlling Person of a legal arrangement (non-trust) – trustee-equivalent
- k Controlling Person of a legal arrangement (non-trust) – protector-equivalent
- l Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent
- m Controlling Person of a legal arrangement (non-trust) – other-equivalent

If there are more people, please provide details on a separate sheet and tick this box ☐.

Are any of the individuals listed above considered a Politically Exposed Person (PEP)*? If so, please provide their full names here and clarify how they are politically exposed.

If there are more people, provide details on a separate sheet and tick this box ☐.

* Politically exposed persons (PEP) are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Step 4: Declaration

Please note: The Service Operator collects the information in this form for the purpose of complying with FATCA and CRS requirements.

Any personal information provided in this form will be handled in accordance with the privacy policy at myexpand.com.au/privacy. If you do not provide all of the requested information, we may not be able to action your request or your tax status may not be maintained accurately.

- I will promptly notify the Service Operator if any of these details change and on request with any further information which is necessary or desirable for the Service Operator to comply with any obligations it may have in connection with FATCA/CRS.
- I consent to the collection and use of the above information by the Service Operator for the purposes specified.
- I authorise any changes set out in this form to be applied to or recorded against my/our account.
- I am aware that information provided about me/us and my/our accounts will be provided to the relevant tax authority within Australia, or internationally.

Entity Declaration (To be completed by an authorised representative of the Entity, such as a Director or Trustee)

Representative name	<input type="text"/>		
Capacity (Company Director, Trustee, etc.)	<input type="text"/>		
Signature	<input type="text"/>	Date	<input type="text"/> / <input type="text"/> / <input type="text"/>

OR

Financial Planner Declaration (if applicable)

I certify that an authorised representative of the entity has verbally or in writing confirmed to me the truth of the information provided and I have no reason to doubt its reasonableness.

AFS Licensee Name	<input type="text"/>	AFSL No.	<input type="text"/>
Representative/ Employee Name	<input type="text"/>	Phone No.	<input type="text"/>
Signature	<input type="text"/>	Date	<input type="text"/> / <input type="text"/> / <input type="text"/>

Please forward all correspondence and enquiries to

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