

21 January 2025

Limited general Power of Attorney and in specie transfer form

Please use this form to provide IOOF Investment Management Limited (IIML ¹), as Trustee, or Navigator Australia Limited (NAL ²), as Service Operator, and IOOF Investment Services Limited (IISL ³), as Custodian, with the ability to in specie transfer listed investments, managed investments, and/or cash (collectively your 'assets') into your Expand Extra or Expand Essential (Expand) account using a Limited general Power of Attorney (LPOA).

You can use this form to facilitate the transfer of investment assets from your direct ownership or from another investment platform with a different custodian to your Expand account. This form is not required if you are transferring assets from another Expand account.

An LPOA authorises the Trustee or Service Operator and the Custodian to act on your behalf for a specified time period in relation to facilitating the in specie transfer of your assets into Expand. This LPOA grants the Trustee or Service Operator and the Custodian authority to perform any act that they deem to be reasonably necessary to help facilitate the in specie transfer of your assets into Expand.

Once the assets are transferred, they will be held in the name of IISL on behalf of the beneficial owner. IISL is a related party of the Trustee and Service Operator and is part of the Insignia Financial Group.

As well as this LPOA and in specie transfer form, you will also need to complete one of the following additional forms:

a For in specie transfers where there will be NO CHANGE of beneficial ownership (investment accounts only), please complete the 'No Change to Beneficial Owner' section of the Expand In specie Transfer Form – Excel spreadsheet that you download from myexpand.com.au/investment#FormsDocuments under 'General forms' here, and following the instructions.

OR

b For in specie transfers where there will be a CHANGE of beneficial ownership, please complete the 'Change to Beneficial Owner' section of the Expand In specie Transfer Form – Excel spreadsheet that you download from the below websites and following the instructions:

- Super accounts: myexpand.com.au/super#FormsDocuments
- Pension accounts: myexpand.com.au/pension#FormsDocuments
- Investment accounts: myexpand.com.au/investment2#FormsDocuments

It is your responsibility to ensure the information supplied to the Trustee or Service Operator is correct and in the prescribed format. If you do not supply these details, or they are not supplied in the prescribed format, your transfer may not be processed.

If the information supplied is incorrect, this may result in incorrect Capital Gains Tax being reported in the annual tax statement and annual tax reporting to the Australian Tax Office. You should seek advice about the financial implications of a LPOA, and a solicitor about the legal implications of a LPOA.

¹ IIML ABN 53 006 695 021, AFS Licence No. 230524 is Trustee of Expand Extra Super, Expand Extra Pension, Expand Essential Super and Expand Essential Pension.

² NAL ABN 45 006 302 987, AFSL 236466 is the Service Operator for Expand Extra Investment and Expand Essential Investment.

³ IISL ABN 80 007 350 405, AFSL 230703 is the Custodian of Expand Extra Super, Expand Extra Pension, Expand Essential Super, Expand Essential Pension, Expand Extra Investment and Expand Essential Investment.

Please complete these instructions in BLACK INK using CAPITAL LETTERS and ✓ boxes where provided. Any personal information provided in this form will be handled in accordance with the Trustee and Service Operator's privacy policy, available at myexpand.com.au/privacy

Step 1: Client details

Account number (if known)

Account name

Step 2: In specie transfer details

Please select one.

☐ You are transferring assets into a new account and have attached this form to your completed application form for Expand.

OR

☐ You are transferring assets into an existing Expand account.

Account number

Step 3: Beneficial ownership declaration

Is there a change of beneficial ownership occurring as a result of this in specie transfer?

☐ **No change of beneficial ownership (investment accounts only).** By selecting this option, you acknowledge that this in specie transfer will not result in a change in beneficial ownership.

☐ **Change of beneficial ownership.** By selecting this option, you acknowledge that this in specie transfer will result in a change in beneficial ownership.

Important note: a change in beneficial ownership will occur for any in specie transfers into an Expand super or pension account.

Changes in beneficial ownership will realise CGT gains or losses on the transferred assets and there may be tax implications. We suggest seeking advice from a registered tax agent to determine how this may impact your tax obligations.

Step 4: Details of assets to be in specie transferred

a For in specie transfers where there will be NO CHANGE of beneficial ownership (investment accounts only), please complete the 'No Change to Beneficial Owner' section of the Expand In specie Transfer Form – Excel spreadsheet that you download from myexpand.com.au/investment#FormsDocuments and following the instructions.

OR

b For in specie transfers where there will be a CHANGE of beneficial ownership, please complete the 'Change to Beneficial Owner' section of the Expand In specie Transfer Form – Excel spreadsheet that you download from the below websites and following the instructions:

- Super accounts: myexpand.com.au/super#FormsDocuments
- Pension accounts: myexpand.com.au/pension#FormsDocuments
- Investment accounts: myexpand.com.au/investment2#FormsDocuments

Step 5: Transferor details

Please provide the transferor(s)' details. One or both options may apply. These details will help the Trustee or Service Operator contact your transferor(s), if needed.

Name/institution	<input type="text"/>
Account name	<input type="text"/>
Account number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Contact phone number	<input type="text"/>
Contact email address	<input type="text"/>

AND/OR

☐ Please transfer from a directly held investment in my name or company name.

Holder identification number (HIN) or Securityholder Reference Number (SRN)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Postal address	<input type="text"/>
Suburb	<input type="text"/>
State	<input type="text"/>
Post code	<input type="text"/>

Step 6: Checklist

Before signing the declaration and returning this document to the Trustee or Service Operator, please check that you have:

☐ Completed the applicable section of **Step 4: Expand In specie Transfer Form – Excel spreadsheet (Step 4)** form.

AND

☐ My/our witness has completed, signed and dated **Step 8: Witness declaration**.

Step 7: Authorisation and declaration

The Trustee and Service Operator collect the information in this form for the purpose of executing a LPOA and transfer the requested assets into your account. Any personal information provided in this form will be handled in accordance with the privacy policy available at myexpand.com.au.

By signing the LPOA declaration below, I/we:

- a** request all assets detailed in Step 4 be transferred into the requested Expand account.
- b** declare that the beneficial ownership declaration selected in **Step 3 Beneficial ownership declaration (Step 3)** applies to the transfer of all assets detailed in Step 4.
- c** acknowledge any assets transferred into the Expand account will form part of the account balance. The terms and conditions relating to the ongoing administration of these holdings and associated fees and costs relevant to your Expand account will apply to the transferred assets.
- d** acknowledge that for a no change in beneficial ownership transfer, the unrealised CGT parcel information you provide will be used by the Trustee or Service Operator as a base for future tax reporting supplied by Expand.

Limited Power of Attorney

You appoint IIML, as Trustee, NAL, as Service Operator, and also IISL, as Custodian, each of Level 1, 800 Bourke Street, Docklands, Victoria, Australia, jointly and severally to be your attorneys to do on your behalf anything you may lawfully authorise an attorney to do in facilitating the transfer of the assets as described in Step 4 of this form into your Expand account.

As your lawful attorneys, the Trustee, Service Operator and Custodian (Attorneys) can perform any act that they deem to be reasonably necessary to help facilitate the transfer of the assets described in Step 4 of this form into your Expand account. These acts include but are not limited to:

- Transferring all assets described in Step 4 of this form into your Expand account.
- Executing any documents or forms the Attorneys reasonably believe are necessary to transfer the assets described in Step 4 of this form.

I declare that this LPOA remains in full effect until all assets described in Step 4 of this form are transferred into your Expand account or until the Attorneys have received revocation of this LPOA.

Signature

Signatory 1		Date (dd/mm/yyyy)	
Role (such as Investor/Director/Trustee as applicable)			
Full name			

Additional Signatures (if required)

Signatory 2		Date (dd/mm/yyyy)	
Role (such as Investor/Director/Trustee as applicable)			
Full name			

Signatory 3		Date (dd/mm/yyyy)	
Role (such as Investor/Director/Trustee as applicable)			
Full name			

Signatory 4		Date (dd/mm/yyyy)	
Role (such as Investor/Director/Trustee as applicable)			
Full name			

Signatory 5

Date (dd/mm/yyyy)

Role (such as Investor/Director/
Trustee as applicable)

Full name

Signatory 6

Date (dd/mm/yyyy)

Role (such as Investor/Director/
Trustee as applicable)

Full name

Common seal
(of company) if required

Step 8: Witness declaration

I have witnessed the signing of this LPOA by the signatory/signatories on the date stated and declare that I am over 18 years of age.

Witness 1

Date (dd/mm/yyyy)

Full name

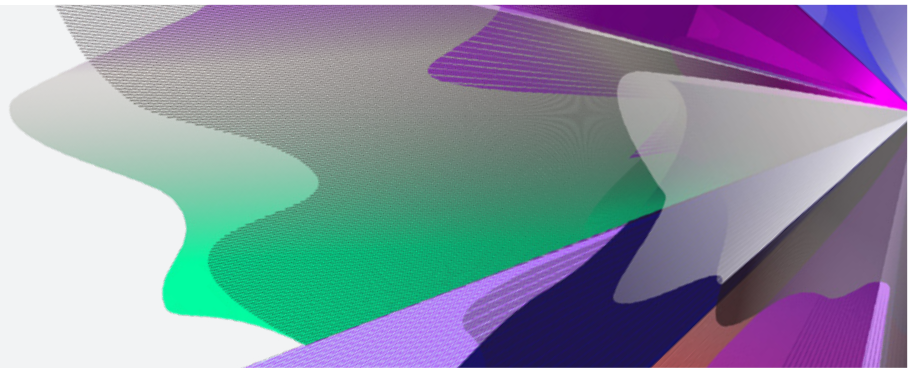
Please forward all correspondence and enquiries to

Post Expand GPO Box 264, Melbourne VIC 3001
Email clientfirst@myexpand.com.au

Telephone
Web

1800 517 124
myexpand.com.au

Issued by IOOF Investment Management Limited ABN 53 006 695 021, AFS Licence No. 230524, as Trustee of Expand Extra Super, Expand Extra Pension, Expand Essential Super and Expand Essential Pension and Navigator Australia Limited (NAL) ABN 45 006 302 987, AFSL 236466, as Service Operator of Expand Essential Investment & Expand Extra Investment.



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Additional information sheet

Please use this Additional information sheet to provide any additional information to help facilitate the transfer of your assets onto Expand.

Eg If transferring cash, please provide details on how and when we will be receiving it.