



EXPAND

1 June 2025

Advice Fees – Wholesale Clients (for Investment accounts only)

Please complete these instructions in BLACK INK using CAPITAL LETTERS.

Step 1: Account details

| | | | | | | | |
|----------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Account number | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Account name | <input type="text"/> | | | | | | |
| Postal address | <input type="text"/> | | | | | | |
| Suburb | <input type="text"/> | State | <input type="text"/> | Post code | <input type="text"/> | | |

Step 2: Wholesale Client status (applies to Investment accounts only)

For financial adviser completion: Is the Advice Fee selected and completed below for personal advice to a Wholesale Client in an Investment account?

Yes ☐ I can demonstrate my client is a Wholesale Client, and will provide sufficient information to the account provider on request to substantiate this, and I will notify the account provider if my client ceases to be a Wholesale Client.

Note: If the above selection is made it will be taken that the selected Advice Fee is for a Wholesale Client.

Step 3: Advice fees

Choose your fee option. Fees should be provided including GST. Blank boxes will default to nil.

- You are not under any obligation to consent to the fee being deducted.
- You may choose not to give your consent to the arrangement or revoke your consent at any time by contacting Navigator Australia Limited (NAL), the service operator of your investment account, via the options at the end of this form. Once your consent is revoked, no further fees will be charged to your account however any amounts charged before you revoke your consent will not be automatically refunded.
- On termination of a fee arrangement, accrued but undeducted Advice Fees may be deducted after the termination date.
- Where advice fees are deducted monthly they are deducted monthly in arrears.
- Advice fee(s) paid to your financial adviser and their Licensee are inclusive of GST.
- For further information on advice fees, please refer to the Offer Document.

Which fee type would you like to establish?

- ☐ Advice Fee – One-off (please complete Section A)
- ☐ Advice Fee – Ongoing (please complete Section B)

Section A – Advice fee – One-off

As agreed with your nominated financial adviser, you authorise \$ (inclusive of GST) to be applied to your account for financial advice.

We will only deduct a maximum of 10% of the account balance up to a fee of \$11,000 (inclusive of GST), OR a maximum fee of \$3,300 (inclusive of GST) where the fee is greater than 10% of the account balance.

Section B – Advice Fee – Ongoing

Note: An Advice Fee – Ongoing cannot be used in conjunction with a Fixed Term Arrangement.

The start date is optional, if no start date is provided fees will commence on the date this form is processed with the start date applying to all ongoing advice fees. This form must be signed no more than 90 days prior to the start date.

Start date (optional) / /

The available fee options are:

(a) Percentage based fee options – flat percentage or tiered percentage

Flat percentage fee

Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance % per annum (inclusive of GST)

OR

Tiered percentage fee

- Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance can be applied to the amount for each tier.
- Up to a maximum of 7 tiers.

| | Balance from | Balance to | % per annum (inclusive of GST) |
|--------|-------------------------|-------------------------|-----------------------------------|
| Tier 1 | \$ <input type="text"/> | \$ <input type="text"/> | <input type="text"/> |
| Tier 2 | \$ <input type="text"/> | \$ <input type="text"/> | <input type="text"/> |
| Tier 3 | \$ <input type="text"/> | \$ <input type="text"/> | <input type="text"/> |
| Tier 4 | \$ <input type="text"/> | \$ <input type="text"/> | <input type="text"/> |
| Tier 5 | \$ <input type="text"/> | \$ <input type="text"/> | <input type="text"/> |
| Tier 6 | \$ <input type="text"/> | \$ <input type="text"/> | <input type="text"/> |
| Tier 7 | \$ <input type="text"/> | \$ <input type="text"/> | <input type="text"/> |

This fee will be calculated daily using the percentage fee above applied against your daily account balance and will be charged to your account monthly.

AND/OR

(b) Flat dollar fee

Up to a maximum of \$18,000 per annum (inclusive of GST) unless agreed otherwise. \$ per annum (inclusive of GST).

This fee will be pro-rated based on the number of days in the month and deducted monthly.

Step 4: Client declaration and signature(s)

Important note: NAL collects the information in this form for the purpose of applying the requested advice fee(s) against your account. Any personal information provided in this form will be handled in accordance with the privacy policy available at myexpand.com.au/privacy.

If you are updating the advice fees on an account and the account is held jointly or is a trust with multiple trustees/directors, all investors or trustees/directors must sign.

- You authorise NAL to charge the advice fee selected against your account.
- The amount of any advice fee(s) that are paid to your financial adviser, as agreed by you, will be an additional cost to you and charged against the account. An advice fee will not be charged unless you tell NAL to do so.
- Any agreed advice fee(s) will be charged to the account and paid in full to the financial adviser, until you instruct NAL to cease payment.
- You understand you can withdraw your consent or vary the ongoing fee arrangement at any time by notice in writing to your adviser.
- You understand you can withdraw your consent at any time before the cost is passed on to you by contacting NAL before the fee is paid.
- If an investment account referred to in this form is transferred by NAL to another Investor Directed Portfolio Service (IDPS) on the same or a different platform subject to the agreement of the receiving operator:
 - you consent to the continued deduction of fees outlined on this form in the subsequent IDPS until this consent expires or is revoked.
 - In this consent:
 - a reference to your account includes both your existing account, and any subsequent account in that other IDPS;
 - a reference to IDPS includes both the existing IDPS, and any other IDPS to which my account is transferred; and
 - a reference to the operator includes both the current operator, and any future operator for an IDPS which my account is transferred; and
 - you agree that a copy of this consent can be provided to any future IDPS operator holding my account.

Please note: If this form is signed under Power of Attorney, the Attorney declares that they have not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this form unless we have already received it and it has not been varied or revoked).

Signature

This form must be signed within 90 days of the start date of the advice fee.

Signatory 1

Role (such as Investor/Director/
Trustee as applicable)

Full name

Date

 / /

Additional signatures (if required)

This form must be signed within 90 days of the start date of the advice fee.

| | | | | | | | |
|--|--|------|--|---|--|---|--|
| Signatory 2 | | Date | | / | | / | |
| Role (such as Investor/Director/ Trustee as applicable) | | | | | | | |
| Full name | | | | | | | |
| Signatory 3 | | Date | | / | | / | |
| Role (such as Investor/Director/ Trustee as applicable) | | | | | | | |
| Full name | | | | | | | |
| Signatory 4 | | Date | | / | | / | |
| Role (such as Investor/Director/ Trustee as applicable) | | | | | | | |
| Full name | | | | | | | |
| Signatory 5 | | Date | | / | | / | |
| Role (such as Investor/Director/ Trustee as applicable) | | | | | | | |
| Full name | | | | | | | |
| Signatory 6 | | Date | | / | | / | |
| Role (such as Investor/Director/ Trustee as applicable) | | | | | | | |
| Full name | | | | | | | |
| Common seal (of company) if required | | | | | | | |

Step 5: Adviser declaration (mandatory)

By arranging for the deduction of advice fee detailed in this form, I confirm and agree to the matters set out below and understand my agreement is taken to be given from the date this form is submitted to NAL:

- The above fees have been fully explained to the applicant and that I can demonstrate my client is a Wholesale Client, and will provide sufficient information to the account provider on request to substantiate this, and I will notify the account provider if my client ceases to be a Wholesale Client;
- I am required to provide the advice services outlined in the agreement between myself and the member;
- The advice fee charged is within the agreed limits contained in this form or any other agreement as amended or varied from time to time between the AFS Licensee named in Step 5 and NAL;
- NAL reserves the right to decline requests to deduct advice fee(s) from the member's Expand account(s) (including any future requests) where required to comply with relevant laws or where the fee deduction is not permitted or inconsistent with any agreement between the AFS Licensee named in Step 5 and NAL.

| | | | |
|-------------------------|----------------------|----------------------|----------------------|
| Adviser name | <input type="text"/> | | |
| AFSL name | <input type="text"/> | | |
| AFS license number | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Adviser contact details | | | |
| Phone (bh) | <input type="text"/> | Phone (mobile) | <input type="text"/> |
| Email | <input type="text"/> | | |
| Business address | <input type="text"/> | | |
| Suburb | <input type="text"/> | State | <input type="text"/> |
| | | Post code | <input type="text"/> |

Please forward all correspondence and enquiries to

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Telephone: 1800 517 124

Web: myexpand.com.au